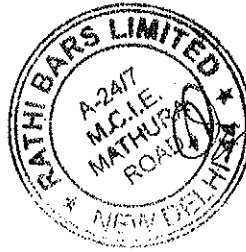
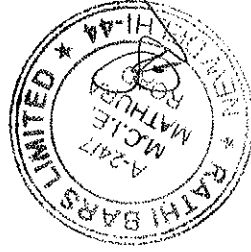


General information about company

| | |
|--|-----------------------|
| Scrip code | 532918 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE575101016 |
| Name of the company | RATHI BARS LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2019 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |



| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|--|--------|-----------------------------|--------------------|--------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |



| Category (i) | Category of shareholder (ii) | No. of shareholders (iii) | No. of fully paid up equity shares held (iv) | No. of partly paid up equity shares held (v) | No. of shares underlying Depository Receipts (vi) | Total nos. shares held (vii) = (iv)+(v)+(vi) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (i)+(ii)+(iii) | Number of Voting (viii) rights | | | Total as a % of (i)+(ii)+(iii) | No. of Shares Underlying Outstanding convertible securities (ix) | No. of Shares Underlying Outstanding convertible Warrants (x) | No. of Shares Underlying Outstanding convertible securities and No. of Warrants (xi) = (ix) + (x) | Shareholding, as a % assuming full conversion of convertible securities, as a percentage of diluted share capital (xii) = [(vii)+(xi)]/[(i)+(ii)+(iii)] | Number of Locked in shares (xiii) | | Number of Shares pledged or otherwise encumbered (xiv) | | Number of equity shares held in dematerialized form (xv) | |
|--------------|--------------------------------|---------------------------|--|--|---|--|--|--------------------------------|---------------|----------------|--------------------------------|--|---|---|---|-----------------------------------|------------|--|-----|--|---------------------------------|
| | | | | | | | | Class | Class | Class | | | | | | As a % of total shares held (b) | No. | As a % of total shares held (a) | No. | | As a % of total shares held (b) |
| | | | | | | | | | | | | | | | | | | | | | |
| (A) | Promoter & Promoter Group | 22 | 1045312 | | | 1045312 | 64.01 | | | | | | | 64.01 | | | | 1045312 | | | |
| (B) | Public | 706 | 587745 | | | 587745 | 35.99 | | | | | | | 35.99 | | | | 587745 | | | |
| (C) | Non Promoter - Non Public | | | | | | | | | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | | | | | | |
| | Total | 718 | 1633057 | 1633057 | 1633057 | 1633057 | 100 | 1633057 | 100.00 | 1633057 | 100 | 1633057 | 100 | 100 | 100 | 100 | 100 | 1633057 | | | |



| Sr. | Category & Name of the Shareholders (i) | Nos. of Shareholders (ii) | No. of fully paid-up equity shares held (iv) | No. of equity shares held (v) | No. of shares underlying Depository Receipts (vi) | Total nos. shares held (vii) = (iv)+(v)+(vi) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (ix) | | | Total as a % of Total Voting rights | No. of Shares Underlying Outstanding convertible securities (x) | No. of Shares Underlying Outstanding Warrants (xi) | No. of Shares Underlying Outstanding convertible securities and No. of Warrants (xii) (a) | Shareholding as a % convertible securities as a percentage of diluted share capital (M2) (viii) (b) As a % of (A+B+C2) | Number of Locked-in Shares (xiii) | | No. of Shares pledged or otherwise encumbered (xiv) | Number of equity shares held in dematerialized form (xv) |
|--|--|---------------------------|--|-------------------------------|---|--|--|---|-------|-------|-------------------------------------|---|--|---|--|-----------------------------------|---------------------------------|---|--|
| | | | | | | | | Class | Class | Class | | | | | | No. | As a % of total Shares held (b) | | |
| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | | | | | | | | | |
| (A) | Individuals (Non-Resident, Individuals, Foreign) | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Resident) | 9 | 516949 | | | 516949 | 32.27 | 516949.00 | | | 516949 | | | 32.27 | | | | | 516949 |
| (b) | Central Government/State Government(s) | | | | | | | | | | | | | | | | | | |
| (c) | Financial Institutions/Banks | | | | | | | | | | | | | | | | | | |
| (d) | Any Other (Specify) | 13 | 518320 | | | 518320 | 31.74 | 518320.00 | | | 518320 | | | 31.74 | | | | | 518320 |
| (e) | Sub-Totals (A)(i) | 22 | 1035269 | | | 1035269 | 64.01 | 1035269.00 | | | 1035269 | | | 64.01 | | | | | 1035269 |
| (f) | Individuals (Non-Resident, Individuals, Foreign) | | | | | | | | | | | | | | | | | | |
| (g) | Government | | | | | | | | | | | | | | | | | | |
| (h) | Financial Institutions/Banks | | | | | | | | | | | | | | | | | | |
| (i) | Foreign Portfolio Investor | | | | | | | | | | | | | | | | | | |
| (j) | Any Other (Specify) | | | | | | | | | | | | | | | | | | |
| (k) | Sub-Totals (A)(ii) | 22 | 1035269 | | | 1035269 | 64.01 | 1035269.00 | | | 1035269 | | | 64.01 | | | | | 1035269 |
| Table III - Statement showing shareholding pattern of the Public Shareholder | | | | | | | | | | | | | | | | | | | |
| (1) | Mutual Funds | | | | | | | | | | | | | | | | | | |
| (a) | Venture Capital Funds | | | | | | | | | | | | | | | | | | |
| (b) | Alternative Investment Funds | | | | | | | | | | | | | | | | | | |
| (c) | Foreign Venture Capital Investors | | | | | | | | | | | | | | | | | | |
| (d) | Foreign Portfolio Investors | | | | | | | | | | | | | | | | | | |
| (e) | Financial Institutions/Banks | | | | | | | | | | | | | | | | | | |
| (f) | Insurance Companies | | | | | | | | | | | | | | | | | | |
| (g) | Provident Funds/ Pension Funds | | | | | | | | | | | | | | | | | | |
| (h) | Any Other (Specify) | | | | | | | | | | | | | | | | | | |
| (i) | Sub-Totals (B)(i) | 1 | 2683 | | | 2683 | 0.02 | 2683.00 | | | 2683 | | | 0.02 | | | | | 2683 |
| (j) | Central Government/State Government(s)/ Financial Institutions | | | | | | | | | | | | | | | | | | |
| (k) | Sub-Totals (B)(ii) | | | | | | | | | | | | | | | | | | |
| (l) | Non-Resident Individuals | | | | | | | | | | | | | | | | | | |
| (m) | Individual Shareholders holding nominal share capital up to Rs. 2 Lakh | 6981 | 3489338 | | | 3489338 | 21.87 | 3489338.00 | | | 3489338 | | | 21.87 | | | | | 3489338 |
| (n) | Individual Shareholders holding nominal share capital in excess of Rs. 2 Lakh | 27 | 1711670 | | | 1711670 | 10.48 | 1711670.00 | | | 1711670 | | | 10.48 | | | | | 1711670 |
| (o) | NREs considered with RBI | | | | | | | | | | | | | | | | | | |
| (p) | Employee Trusts | | | | | | | | | | | | | | | | | | |
| (q) | Overseas Dependents (holding BPN) (Specify) | | | | | | | | | | | | | | | | | | |
| (r) | Any Other (Specify) | 57 | 273554 | | | 273554 | 1.68 | 273554.00 | | | 273554 | | | 1.68 | | | | | 273554 |
| (s) | Sub-Totals (B)(iii) | 705 | 527452 | | | 527452 | 35.97 | 527452.00 | | | 527452 | | | 35.97 | | | | | 527452 |
| (t) | Total Public Shareholding (B)(i)+(B)(ii)+(B)(iii) | 7106 | 5877245 | | | 5877245 | 35.98 | 5877245.00 | | | 5877245 | | | 35.98 | | | | | 5877245 |
| Table IV - Statement showing shareholding pattern of the Non-Promoter, Non-Public Shareholder | | | | | | | | | | | | | | | | | | | |
| (1) | Individuals (Resident) | | | | | | | | | | | | | | | | | | |
| (a) | Employees Benefit Trust (under SEBI (Share Based Employees Benefit) Regulations, 2005) | | | | | | | | | | | | | | | | | | |
| (b) | Sub-Totals (C)(i) | | | | | | | | | | | | | | | | | | |
| (c) | Total (A+B+C) | 7128 | 1533057 | | | 1533057 | 100.00 | 1533057.00 | | | 1533057 | | | 100.00 | | | | | 1533057 |
| (d) | Total (A+B+C) | 7128 | 1533057 | | | 1533057 | 100.00 | 1533057.00 | | | 1533057 | | | 100.00 | | | | | 1533057 |

Details of Shares which remain unclaimed for Dividend

Details of the Shareholders holding shares in excess of 10% of the total no. of shares, please refer to the separate annexure.

Details of the Shareholders holding shares in excess of 10% of the total no. of shares, please refer to the separate annexure.

Details of Shares which remain unclaimed for Dividend

Details of the Shareholders holding shares in excess of 10% of the total no. of shares, please refer to the separate annexure.

Details of Shares which remain unclaimed for Dividend

